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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/837,711	04/17/2001	1652	668	UBC. P-005 - 2	2	37 31	7

CONFIRMATION NO. 1131

021121  
OPPEDAHL AND LARSON LLP  
P O BOX 5068  
DILLON, CO 80435-5068

## FILING RECEIPT



\*OC000000006170682\*

Date Mailed: 06/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Stephen G. Withers, Vancouver, CANADA;  
Lloyd MacKenzie, Vancouver, CANADA;  
Qingping Wang, ~~Kirkland~~ Montreal, CANADA;

COPY

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/091,272 09/29/1998  
WHICH IS A 371 OF PCT/CA96/00841 12/12/1996  
WHICH IS A CIP OF 08/571,175 12/12/1995 PAT 5,716,812

## Foreign Applications

If Required, Foreign Filing License Granted 06/11/2001

Projected Publication Date: 09/20/2001

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title



Date: June 28, 2001  
ATTORNEY DOCKET NO. UBC.P-005-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen G. Withers  
Application No.: 09/837,711  
Filing Date: April 17, 2001  
Title: Methods and Compositions for the Synthesis of Oligosaccharides Using  
Mutant Glycosidase Enzymes  
Attorney Docket No.: UBC.P-005-2

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B.G.J.  
7/12/01

WITHDRAWAL OF SMALL ENTITY STATUS

Dear Sir:

We have been instructed by our client to withdraw them from Small Entity status. All future fees in regard to this patent application should be calculated as a large entity.

Respectfully Submitted,

*Marina T. Larson*

Marina T. Larson  
PTO Reg. No. 32,038  
P.O. Box 5068  
Dillon, CO 80435-5068  
Tel: (970) 468-6600  
Fax: (970) 468-0104

**CERTIFICATE OF MAILING under 37 CFR § 1.8(a)**

I hereby certify that this paper and the attachments named herein are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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Washington, DC 20231

(Typed Name of Person Mailing Paper of Fee)

LORI A. SOUTH

(signature of Person Mailing Paper of Fee)

*Lori A. South*



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AUG 28 2001

TECH CENTER 1600/2900  
Date: June 29, 2001

ATTORNEY DOCKET NO. UBC.P-005-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephen G. Withers  
Application No.: 09/837,711  
Filing Date: April 17, 2001  
Title: Methods and Compositions for the Synthesis of Oligosaccharides Using  
Mutant Glycosidase Enzymes  
Attorney Docket No.: UBC.P-005-2

**REQUEST TO CORRECT FILING RECEIPT**

It is noted that the filing receipt has a wrong address for one of the applicants, Qingping Wang. The correct address is **Montreal, CANADA**. A hand-corrected copy of the filing receipt is enclosed.

It is noted that the receipt indicates 37 claims. There are only 31. The Preliminary Amendment was an amendment to the claims after International Preliminary Examination, a copy of which was filed with the application. These claims numbered 32, of which two were canceled and to which one was added. Please ensure that the Preliminary Amendment is applied to the correct starting point.

If fees were charged for additional claims, they should be refunded. Please credit any refund to Deposit Account No. 15-0610.

Respectfully Submitted,



Marina T. Larson, PTO Reg. No. 32,038

Attorney for Applicants

Tel. (970) 468-6600 Fax: (970) 468-0104

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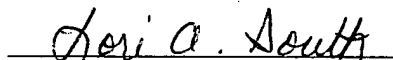
**CERTIFICATE OF MAILING under 37 CFR § 1.8(a)**

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LORI A. SOUTH

(signature of Person Mailing Paper of Fee)





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Bib Data Sheet

CONFIRMATION NO. 1131

<b>SERIAL NUMBER</b> 09/837,711	<b>FILING DATE</b> 04/17/2001 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1652	<b>ATTORNEY DOCKET NO.</b> UBC. P-005 - 2
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**APPLICANTS**

Stephen G. Withers, Vancouver, CANADA;  
 Lloyd MacKenzie, Vancouver, CANADA;  
 Qingping Wang, Montreal, CANADA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 09/091,272 09/29/1998 PAT 6,284,494  
 WHICH IS A 371 OF PCT/CA96/00841 12/12/1996  
 WHICH IS A CIP OF 08/571,175 12/12/1995 PAT 5,716,812

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

IF REQUIRED, FOREIGN FILING LICENSE GRANTED\*\* SMALL ENTITY \*\*  
 \*\* 06/11/2001

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> CANADA	<b>SHEETS DRAWING</b> 2	<b>TOTAL CLAIMS</b> 31	<b>INDEPENDENT CLAIMS</b> 7
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <u>[Signature]</u> Initials				

**ADDRESS**

021121

**TITLE**

Methods and compositions for synthesis of oligosaccharides using mutant glycosidase enzymes

<b>FILING FEE RECEIVED</b> 668	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit